Brasscorp Limited

*personal financial advisers*

**Primary Disclosure Statement (Authorised Financial Adviser)**

Name and registration number of Authorised Financial Adviser: **Scott White FSP 15421**

Address: P O Box 17-510, Greenlane, Auckland

Trading Name: Brasscorp Limited

Telephone: 09-630-5222

Fax: 09-630-5222

E Mail: [info@taxsmart.co.nz](mailto:info@taxsmart.co.nz)

This disclosure statement was prepared on 14 October 2013

**It is important that you read this document**

This information will help you choose a financial adviser that best suits your needs. It will alos provide some useful information about the financial adviser that you choose.

In addition to the information that I must disclose to you in this statement, I must also disclose other information to you in a separate disclosure statement (or statements), including information about the types of service that I provide, the fees that I charge, and any actual or potential conflicts of interest.

If I have not provided that information to you at the same time as I give you this statement, I must provide it to you as soon as I can.

**What sort of adviser am I?**

I am an Authorised Financial Adviser. This means I have been authorised by the Financial Markets Authority (the government agency that monitors financial advisers) to provide the financial adviser services described below.

**How can I help you?**

I have been authorised to provide you with financial adviser services of the following categories:

* Financial advice
* Investment planning services

When I do this, I will be able to give you advice/provide a service about:

* Financial products provided by only one organisation
* Financial products provided by a small number of organisations (2 to 5 organisations)
* Financial products provided by a broad range of organisations (more than 5 organisations)

**How do I get paid for the services I provide to you?**

**Payment type Description**

* Fees only My services are only paid for by the fees that you pay. I do not receive

payment from other people or organisations that might influence my advice.

* Fees My services are paid for by the fees that you pay as well as in other ways.
* Commissions There are situations in which my employer will be paid by other organisations. How much that payment will be depends on the decisions that you make.
* Extra payments I may receive extra payments from my employer.
* from my employer
* Non-financial Other organisations may give my employer/me non-financial benefits

benefits depending on the decisions that you make.

from other

organisations

I am required to tell you the specific fees, commissions, extra payments, and other benefits that I have received or will, or may, receive in relation to the services that I provide to you. I must tell you these things before I give you advice/provide a service or, if that is not practicable, as soon as possible after I give you that advice/provide that service.

Brasscorp Limited

*personal financial advisers*

**What are my obligations?**

As an Authorised Financial Adviser, I must comply with the Code of Professional Conduct for Authorised Financial Advisers. I also have other obligations under the Financial Advisers Act 2008 (including regulations made under that Act) and under the general law.

**What should you do if something goes wrong?**

If you have a problem, concern, or complaint about any part of my service, please tell me/my employer/my internal complaints scheme so that I/my employer/my internal complaints scheme can try to fix the problem.

If we cannot agree on how to fix the issue, or if you decide not to use my internal complaints scheme, you can contact Financial Services Complaints Limited (fscl). This service will cost you nothing, and will help us resolve any disagreements.

You can contact fscl at:

Address: P O Box 5967, Lambton Quay, Wellington 6145

Telephone: 0800-347-257 or 04-472-3725

Fax: 04-472-3728

E mail: [info@fscl.org.nz](mailto:info@fscl.org.nz)

**If you need to know more, where can you get more information?**

If you have a question about anything in this disclosure statement or you would like to know anything more about me, please ask me.

If you have any questions about financial advisers generally, you can contact the Financial Markets authority.

**How am I regulated by the Government?**

You can check that I am a registered financial services provider and an Authorised Financial Adviser

at <http://www.fspr.govt.nz>

The Financial Markets Authority authorises and regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complaints about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?).**

**Declaration**

I, Scott White FSP 15421, declare that to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed: